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TRANSMITTAL FORM

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Total Number of Pages in This Submission

		PTO/SB/21 (02-04)
Application Number	10/719,692	
Filing Date	November 21, 2003	
First Named Inventor	An, Songzhu	
Art Unit	1645	-
Examiner Name	Not yet assigned	
Attorney Docket Number	018781-009530US	

	ENCLOSURES (Check all that apply	)						
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): • Return Postcard • Copies of cited references A1-A50						
Response to Missing Parts under 37 CFR 1.52 or 1.53								
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Firm Townsend and Towns or Individual name Jean M. Lockyer, Ph.  Signature	. /	44,879						
Date June/24, 2004	VV /							
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Date: June 24, 2004

TOWNSEND and TOWNSEND and CREW LLP

Marina A. Lewis

JUN 2 8 2004 E

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

AN et al.

Application No.: 10/719,692

Filed: November 21, 2003

For: RECEPTOR LIGANDS AND

METHODS OF MODULATING

**RECEPTORS** 

Examiner: Not yet assigned

Art Unit: 1645

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: 018781-009530US Client Reference No.: T02-011-2/US

PATENT

UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, applicant has not submitted copies of references A1-A3. Copies of all non-U.S. patent and literary references are enclosed. It is respectfully requested that the cited references be expressly

**PATENT** 

Application No.: 10/719,692

Page 2

considered during the prosecution of this application, and the references be made of record

therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the

information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Date: June 24, 2004

Respectfully submitted,

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor

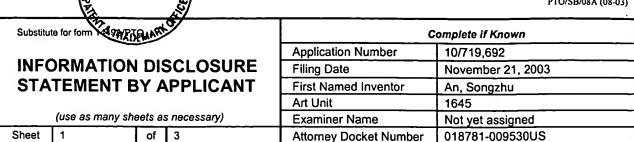
San Francisco, California 94111-3834

Tel: 415-576-0200

Fax: 415-576-0300

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	U.S. PATENT DOCUMENTS+								
	Document Number								
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if	known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	A1	US-6,500,938	B1	12-31-2002	Au-Young, et al.				
	A2	US-6,555,339	B1	04-29-2003	Liaw, et al.				
	А3	US-2002-0052022	A1	05-02-2002	Elshourbagy, et al.				

				FC	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup>	eign Patent Doo Number <sup>4</sup>	Kind Code <sup>6</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
	A4	EP	1270724	A2	01-02-2003	National Institute of Advanced Industrial Science and Technology; and 2) Center for Advanced Science and Technology Incubation, Ltd.		N/A
	A5	wo	98/56820	A1	12-17-1998	Smithkline Beecham Corporation		N/A
	A6	wo	00/22129	A1	04-20-2000	Arena Pharmaceuticals, Inc.		N/A
	A7	wo	01/36471	A2	05-25-2001	Arena Pharmaceuticals, Inc.		N/A
•	A8	w	01/36473	A2	05-25-2001	Pharmacia & Upjohn Company		N/A
	A9	wo	01/73029	A2	10-04-2001	PE Corporation		N/A
	A10	wo	01/74904	A2	10-11-2001	Curagen Corporation		N/A
	A11	wo	01/77320	A2	10-18-2001	Bayer AG		N/A
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	A22	wo	02/28999	A2	04-11-2002	Gene Logic, Inc.		N/A
	A23	wo	02/061087	A2	08-08-2002	Lifespan Biosciences, Inc.		N/A
	A24	wo	02/072755	A2	09-19-2002	Bristol-Myers Squibb Company		N/A
	A25	wo	02/084298	A2	10-24-2002	Glaxo Group Limited		N/A
	A26	wo	03/021262	A1	03-13-2003	Takeda Chemical Industries, Ltd.		

Examiner Signature	Date Considered	

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10/719,692

Substitute for form 1449B/PTO

2

Sheet

## **INFORM** STATEM

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MENT BY APPLICANT		**	Filing Date	November 21, 2003
		PPLICANT	First Named Inventor	An, Songzhu
			Art Unit	1645
as many she	ets as	necessary)	Examiner Name	Not yet assigned
2	of	3	Attorney Docket Number	018781-009530US

Application Number

	_	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	A27	AKTORIES, K., et al., "Nicotinic Acid Inhibits Adipocyte Adenylate Cyclase in a Hormone-Like Manner", Elsevier/North-Holland Biomedical Press (June 1980), Vol. 115, No. 1, pp. 11-14.	
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Examiner	Date
Signature	Considered
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Substitute	for form 1449B	/PTO		Complete if Known		
INIEO	DRAATIC	M DIC		Application Number	10/719,692	
INFORMATION DISCLOSURE				Filing Date	November 21, 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	An, Songzhu	
				Art Unit	1645	
(use as many sheets as necessary)		Examiner Name	Not yet assigned			
Sheet	3	of	3	Attorney Docket Number	018781-009530US	

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Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2					
	A43	MIYAI, K., et al., "Synthesis and Antiinflammatory Activity of 6-Oxo-1-(G-D-ribofuranosyl)nicotinic Acid and Related Derivatives", <i>Journal of Medicinal Chemistry</i> (1978), Vol. 21, No. 5, pp. 427-430.						
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	A47	OFFERMANNS, S., et al., "Ga <sub>15</sub> and Ga <sub>16</sub> Couple a Wide Variety of Receptors to Phospholipase C", <i>The Journal of Biological Chemistry</i> (June 23, 1995), Vol. 270, No. 25, pp. 15175-15180.						
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Examiner	Date	
Signature	Considered	

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